

PTO/SB/17 (11-01)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FREE TRANSMITTAL for FY 2002

**Patent fees are subject to annual revision.**

☐ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>170.00</b>
--------------------------------	-------------	---------------

**Complete if Known**

Application Number	09/897,594
Filing Date	June 29, 2001
First Named Inventor	Vladimir Neyman
Examiner Name	Not Yet Assigned
Group Art Unit	2164
Attorney Docket No.	E3879.0027

**METHOD OF PAYMENT** (check all that apply)

<input checked="" type="checkbox"/>	Check	<input type="checkbox"/>	Credit Card	<input type="checkbox"/>	Money Order	<input type="checkbox"/>	Other	<input type="checkbox"/>	None
-------------------------------------	-------	--------------------------	-------------	--------------------------	-------------	--------------------------	-------	--------------------------	------

	Deposit Account
--	-----------------

Deposit  
Account  
Number

04-1073

Deposit  
Account  
Name

Dickstein Shapiro Morin &  
Oshinsky LLP

**The Commissioner is hereby authorized to:** *(check all that apply)*

<input type="checkbox"/>	Charge fee(s) indicated below	<input checked="" type="checkbox"/>	Credit any overpayments
--------------------------	-------------------------------	-------------------------------------	-------------------------

☐ Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Expense Recognition</b>	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. <b>Asset Recognition</b>	Assets are recognized when they are probable of future economic benefits and their cost can be reliably measured.	Assets are recognized when they are probable of future economic benefits and their cost can be reliably measured.
4. <b>Liability Recognition</b>	Liabilities are recognized when they are probable of future economic benefits and their cost can be reliably measured.	Liabilities are recognized when they are probable of future economic benefits and their cost can be reliably measured.
5. <b>Equity Recognition</b>	Equity is recognized when it represents the residual interest in the entity after deducting all liabilities.	Equity is recognized when it represents the residual interest in the entity after deducting all liabilities.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

<b>SUBTOTAL (1)</b>	<b>(\$)</b>	<b>0.00</b>
---------------------	-------------	-------------

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	** =	<input type="text"/>	x	<input type="text"/>	=	<input type="text"/>
Independent Claims	<input type="text"/>	** =	<input type="text"/>	x	<input type="text"/>	=	<input type="text"/>
Multiple Dependent					<input type="text"/>	=	<input type="text"/>

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Expense Recognition</b>	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. <b>Asset Recognition</b>	Assets are recognized when they are probable to generate future economic benefits and their cost can be measured reliably.	Assets are recognized when they are probable to generate future economic benefits and their cost can be measured reliably.
4. <b>Liability Recognition</b>	Liabilities are recognized when they are probable to result in an outflow of resources and their amount can be measured reliably.	Liabilities are recognized when they are probable to result in an outflow of resources and their amount can be measured reliably.
5. <b>Equity Recognition</b>	Equity is recognized as the residual interest in the entity after deducting all liabilities from assets.	Equity is recognized as the residual interest in the entity after deducting all liabilities from assets.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b>	<b>0.00</b>
---------------------	-------------	-------------

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity
<p>1. <b>Revenue Recognition</b></p> <p>Large entities use the <b>percentage-of-completion</b> method, which recognizes revenue and profit as the contract progresses. This method requires estimating the total contract value and the proportion of work completed.</p> <p>Small entities typically use the <b>cost-of-sales</b> method, which recognizes revenue only when the contract is completed. This method is simpler and less prone to manipulation.</p>	<p>1. <b>Revenue Recognition</b></p> <p>Small entities use the <b>cost-of-sales</b> method, which recognizes revenue only when the contract is completed. This method is simpler and less prone to manipulation.</p>
<p>2. <b>Asset Valuation</b></p> <p>Large entities use the <b>lower of cost or market</b> rule, which requires valuing inventory at the lower of its historical cost or its current market value. This method is more complex and requires frequent market valuations.</p> <p>Small entities typically use the <b>cost</b> method, which values inventory at its historical cost. This method is simpler and less prone to manipulation.</p>	<p>2. <b>Asset Valuation</b></p> <p>Small entities use the <b>cost</b> method, which values inventory at its historical cost. This method is simpler and less prone to manipulation.</p>
<p>3. <b>Liability Recognition</b></p> <p>Large entities use the <b>accrual</b> method, which recognizes liabilities as they are incurred, regardless of when they are paid. This method is more complex and requires frequent accruals.</p> <p>Small entities typically use the <b>cash</b> method, which recognizes liabilities only when they are paid. This method is simpler and less prone to manipulation.</p>	<p>3. <b>Liability Recognition</b></p> <p>Small entities use the <b>cash</b> method, which recognizes liabilities only when they are paid. This method is simpler and less prone to manipulation.</p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	130.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3</b>	<b>(\$)</b>	<b>170.00</b>
--------------------	-------------	---------------

## SUBMITTED BY

Name (Print/Type)	Steven Rubin
-------------------	--------------

**Signature**

Registration No.  
(Attorney/Agent)

43,063

Complete (if applicable)

Telephone	(212) 896-5486
-----------	----------------

Date \_\_\_\_\_

March 19, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,594	MAR 20 2002 06/29/2001	Vladimir Neyman	P/3879-27

000002352  
OSTROLENK FABER GERB & SOFFEN  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NY 100368403

CONFIRMATION NO. 9717

## FORMALITIES LETTER



\*OC000000006458406\*

Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE